MINUTES
University Library Committee
Wednesday, Feb 8, 2017
2:30 pm – 3:30 pm
Memorial Library Room 362
Minutes prepared by Ian Benton

Voting Members

Faculty
• Cécile Ané, Botany and Statistics
• Catherine Arnott Smith, Library & Information Studies
• Yang Bai, Physics
• Kyung-Sun Kim, Library and Information Studies
• Daniel Klingenberg, Chemical and Biological Engineering
• Sarah Thal, History

Academic Staff
• Cid Freitag, DoIT
• Carol Pech, School of Medicine and Public Health

Classified Staff
• Shira Hand, School of Education

Students
• Chrissy Hursh

Non-Voting Members
• Steven Barkan (LCC Liaison), Director, Law Library
• Ian Benton, College Library
• Phillip Braithwaite, Budget, Planning & Analysis
• Dennis Lloyd, Director, University of Wisconsin Press
• Ed Van Gemert, Vice Provost for Libraries

Also Present
• Lesley Moyo, AUL for Public Services
• Julie Schneider, Director of Ebling Library
• Nancy Graff Schultz, AUL for Administration
• Karla Strand, Gender and Women’s Studies Librarian
• Natasha Veeser, GLS Head of Communications
1. Minutes
   a. Doug listed twice in attendance for the December meeting.
   b. Approved pending correction.
2. Announcements
   a. Kelli will not be here. In place of the Library Ambassador program, we’ll have an update from Julie Schneider about Federal Public Access strategies.
   a. $500,000 in new recurring money has been allocated to the UW Library budget.
      i. Support serials collecting & consolidation efforts
      ii. Thanks to partners and chancellor for listening and supporting library needs
   b. SOAR has found an ongoing home at College Library.
      i. Saves university dollars
      ii. Starts June of 2017
   c. Dennis Lloyd and Ed received recommendations from staff about the feasibility of bringing UW Press locations into UW Libraries. The conclusion is that there is room and the move will go forward.
   d. Master Plan efforts with Enberg Anderson & Bright Spot are ongoing. The focus is to understand library spaces, how they’ll be use, and the services that accompany them over time and into the future. Expected completion date is July/Aug 2017.
      i. Week of Feb 13th they’ll focus on library staff input
      ii. Week of Feb 20th they’ll focus on faculty and staff outside the libraries
      iii. Ed has asked Bright Spot to amend the pace of their work to accommodate all perspectives and take the feedback of the Steering Committee. We wish to make certain our unique culture is represented here, not pushed into a “cookie-cutter” report representative of other institutions.
   e. Ben Strand (Library Development), Ellsworth Brown (WHS Director) & Matt Blessing (WHS Development) are holding a combined development event at WHS Thursday, May 11th. Bill Cronon will speak on the great libraries of UW Madison, how they’ve changed and developed throughout the history of UW Madison libraries. There will be a reception after the lecture.
      i. Sarah asks, how is it being advertised? Ed answers, 1500 targeted invitations were sent. The event is focused on collections & preservations so donors who align with those development areas were targeted.
   f. Ed & Ben are working with Lesley on inventorying naming opportunities that would contribute to GLS development goals. They are targeting specific spaces in need of modernization or re-envisioning.
   g. Dan notes that this is his last semester as ULC Chair and a faculty member will need to be elected to replace him. Nominations can go to Ed, Julie, or Dan. Goal is to convene in May with the incoming chair decided.
3. Equity & Diversity Committee (EDC) – Karla Strand
   a. The creation of the EDC was recommended by the Diversity Task force and has been working since June 2016.
      i. It is composed of a Steering Committee plus 6 sub committees (3 permanent & 3 task based). Total membership includes 30-35 staff. Sub Committees are:
1. Recruitment and Hiring (standing)
2. Training and Education (standing)
3. Climate and staff Engagement (standing)
4. Strategic Diversity Plan (task)
5. Onboarding (task)
6. Website (task)

b. Because of the nature of the topics – people feel strongly and issues are personal. That passion leads to staff wanting fast responses to the events of the day that raise those passions.
   i. Q. who makes that response?
   ii. Q. what spaces exist for people to decompress, talk, and feel safe?
   iii. The pace of events moves faster than the UW’s or GLS’s ability to generate a unified responses
   iv. EDC’s plan is for a 1-2 times monthly scheduled discussion focused on current events. Not a complaint sessions but an opportunity to connect on hot-button issues. Focus on resources, training, and understanding existing policies. The meetings will emphasizes relevant resources and experts available on campus.

c. Currently working on a strategic plan on Equity and Diversity for the libraries. (ongoing)
d. Will begin generating annual reports. (ongoing)
e. The EDC has been challenged by the logistics of coordinating the large committee – agreement on direction is especially difficult given chaotic current events and the fast pace of change in the GLS. Getting everyone on the same page is a challenge and has been a major task for the first year.
f. Sarah asks – how would you characterize getting everyone on the same page?
   i. Karla Answer – Syncing up day-to-day processes and approaches from team members. Standing behind campus diversity and inclusion statements. Also creating opportunities to learn, grow, and have discussions. We’re capitalizing on the fact that we’re all invested in the process and that the process never ends. Diversity isn’t just one group or staff person’s job, it shouldn’t be compartmentalized into a subset of jobs.
   ii. Ed reflects on the pace of administrative response.
g. Chrissy asks – What is the role in relation to other campus efforts and GLS admin structures?
   i. EDC feels a culture shift ought to hinge on distributing the responsibility for equity and diversity to all staff. Avoid top-down constructs.

   a. A copy of Julie’s presentation has been submitted along with the minutes. Please review it for greater detail.
   b. Targeting all agencies with $100 mil or more in grants. Top eight are:
      i. 55% - Health & Human Services
      ii. 15% - National Science Foundation
      iii. 11% - Energy
      iv. 5% - Defense
      v. 4% - Education
vi. 3% - Commerce (NOAA, NIST, EDA, NTIA, Census Bureau
vii. 2% - Agriculture
viii. 2% - NASA
c. NIH public Access Policy mandates that NIH funded research be made public on PubMed after a 12 month embargo.
   i. Goal is to assist faculty who must follow Federal guidelines around that compliance. Initial work led to the realization that most schools on campus have researchers that need assistance because they receive NIH funding
   ii. Discussion of Federal Public Access policy timeline
d. First steps – collect Federal Compliance Documentation for each of the eight targeted agencies. Example, the Dept. of Energy is very organized and their regulations are easy to negotiate. DoE’s also allows third parties to handle compliance documentation. In the cases of other departments, only Primary Investigators can submit compliance documentation. This negatively impact compliance and Julie hopes it will lead to a trend of more agencies allowing third parties to handle compliance documentation.
e. The Federal Public Access group is taking the initiative to directly contact the UW’s Primary Investigators to offer help complying with grant regulations.
   i. Creating training presentations
   ii. Please refer researchers to Federal Public Access group if there is a need
f. Ed Asks – will trainings be agency or process specific?
   i. Answer – yes. For example, we are trying to tie discussions to campus repository efforts. Where do the Federal requirements align with protocols for the repository to bolster compliance and bring content into the repository?
g. Dennis asks – Could you elaborate on what repositories these materials go into and how that impacts our ability to track data on usage.
   i. Answer – Not all agencies require that we use their repositories. They have varied policies. They’re looking at ways in which to access those data or make connections via existing APIs.
   ii. ORCID (Open Research and Contributor ID) is a common login ID for some departments. It is useful in connecting across repositories.

5. Memorial Library Committee – Lelsey Moyo for Florence Hsia
   a. The Director of Design Lab visited Memorial and discussed the possibility of making a similar space there.
ULC MEETING – 2/7/2017
FEDERAL PUBLIC ACCESS UPDATE

Julie Schneider, Ebling Library Director
UW-MADISON, FEDERAL RESEARCH FUNDING SOURCES

- Health & Human Services: 55%
- National Science Foundation: 15%
- Energy: 11%
- Defense: 5%
- Education: 4%
- Commerce (NOAA, NIST, EDA, NTIA, Census Bureau): 3%
- Agriculture: 2%
- NASA: 2%
- Other: 3%
Beginning – NIH Public Access Policy

- Signed into law in December 2007 – Started April 2008
- Authors (or others) deposit final peer-reviewed manuscript to PubMed Central upon acceptance for publication using the NIH Manuscript Submission System
- 12 month embargo before full-text is available
- NIH monitor compliance through grant progress reports
- As of 2/4/17, 14,540 Articles resulting from NIH funded research at UW-Madison have been submitted to PubMed Central. 13,945 are compliant with a compliance rate of 96%
Past, Now & Future – Federal Public Access

- February 2013 – The White House, Office of Science & Technology Policy released a memorandum that directed Federal agencies with more than $100M in R&D expenditures to develop plans to make the published results of federally funded research freely available to the public within one year of publication and requiring researchers to better account for and manage the digital data resulting from federally funded scientific research.

- Starting in 2014 – Federal agencies began forming and sharing their public access plans. The agencies began implementation of the approved plans.

- As with NIH policy, campus libraries are taking the lead in assisting PIs, researchers and others in complying with federal agency policies. The Ebling Library staff will organize the work being done initially with publications resulting federal agency grant supported research.
Some First Steps

- Collecting public access compliance information on each of the main agencies

  Example:

  **Department of Energy**
  - 11% of Federal Research Funding at UW-Madison
  - Grants – 172
  - # of Campus PIs – 80
  - # of Campus Departments – 22
  - Repository – PAGES (Public Access Gateway for Energy and Science)
  - Submissions – E-Link (Energy Link System)
  - Submitters – PIs, authors, third-parties
  - Format of Submitted Manuscript – Final peer-reviewed manuscript (PDF, Word or OpenDocument)
  - Reporting to agency – Planning to use CrossRef’s Funding Data (FundRef) at some future time
  - Index – SciTech Connect
## Contacting PIs

<table>
<thead>
<tr>
<th>ID</th>
<th>Last Name, First Name</th>
<th>Department, Office</th>
<th>Phone</th>
<th>Status</th>
<th>Division</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>144NQ67</td>
<td>Smith, Wesley H</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>486700</td>
<td>Accepted</td>
<td>L&amp;S/PHYSICS</td>
<td><a href="mailto:wsmith@hep.wisc.edu">wsmith@hep.wisc.edu</a></td>
</tr>
<tr>
<td>144QG13</td>
<td>Smith, Wesley H</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>486700</td>
<td>Accepted</td>
<td>L&amp;S/PHYSICS</td>
<td><a href="mailto:wsmith@hep.wisc.edu">wsmith@hep.wisc.edu</a></td>
</tr>
<tr>
<td>144QN69</td>
<td>Smith, Wesley H</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>486700</td>
<td>Accepted</td>
<td>L&amp;S/PHYSICS</td>
<td><a href="mailto:wsmith@hep.wisc.edu">wsmith@hep.wisc.edu</a></td>
</tr>
<tr>
<td>144QW64</td>
<td>Smith, Wesley H</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>486700</td>
<td>Accepted</td>
<td>L&amp;S/PHYSICS</td>
<td><a href="mailto:wsmith@hep.wisc.edu">wsmith@hep.wisc.edu</a></td>
</tr>
<tr>
<td>144QW65</td>
<td>Smith, Wesley H</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>486700</td>
<td>Accepted</td>
<td>L&amp;S/PHYSICS</td>
<td><a href="mailto:wsmith@hep.wisc.edu">wsmith@hep.wisc.edu</a></td>
</tr>
<tr>
<td>144QW67</td>
<td>Smith, Wesley H</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>486700</td>
<td>Accepted</td>
<td>L&amp;S/PHYSICS</td>
<td><a href="mailto:wsmith@hep.wisc.edu">wsmith@hep.wisc.edu</a></td>
</tr>
<tr>
<td>144QW66</td>
<td>Smith, Wesley H</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>486700</td>
<td>Accepted</td>
<td>L&amp;S/PHYSICS</td>
<td><a href="mailto:wsmith@hep.wisc.edu">wsmith@hep.wisc.edu</a></td>
</tr>
<tr>
<td>144QW68</td>
<td>Smith, Wesley H</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>486700</td>
<td>Accepted</td>
<td>L&amp;S/PHYSICS</td>
<td><a href="mailto:wsmith@hep.wisc.edu">wsmith@hep.wisc.edu</a></td>
</tr>
<tr>
<td>144QA84</td>
<td>Terry, Paul W</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>486700</td>
<td>Accepted</td>
<td>L&amp;S/PHYSICS</td>
<td><a href="mailto:pwterry@wisc.edu">pwterry@wisc.edu</a></td>
</tr>
<tr>
<td>144QH77</td>
<td>Terry, Paul W</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>486700</td>
<td>Accepted</td>
<td>L&amp;S/PHYSICS</td>
<td><a href="mailto:pwterry@wisc.edu">pwterry@wisc.edu</a></td>
</tr>
<tr>
<td>AAB2367</td>
<td>Barnhart, Todd E</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>534800</td>
<td>Accepted</td>
<td>SMPH/MEDICAL PHYSICS</td>
<td><a href="mailto:tebarnhart@wisc.edu">tebarnhart@wisc.edu</a></td>
</tr>
<tr>
<td>PRJ69YE</td>
<td>Gould, Michael N</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>535900</td>
<td>Accepted</td>
<td>SMPH/ONCOLOGY</td>
<td><a href="mailto:gould@oncology.wisc.edu">gould@oncology.wisc.edu</a></td>
</tr>
<tr>
<td>144JN56</td>
<td>Sussman, Michael R</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>347500</td>
<td>Accepted</td>
<td>VCRGE/BIOTECHNOLOGY CENTER</td>
<td><a href="mailto:msussman@wisc.edu">msussman@wisc.edu</a></td>
</tr>
<tr>
<td>PRJ88SM</td>
<td>Notaro, Michael</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>401500</td>
<td>Accepted</td>
<td>VCRGE/CENTER FOR CLIMATIC RESEARCH</td>
<td><a href="mailto:mnotaro@wisc.edu">mnotaro@wisc.edu</a></td>
</tr>
<tr>
<td>AAB4868</td>
<td>Wood, Norman</td>
<td>DOE, CHICAGO OPERATIONS OFFICE</td>
<td>349100</td>
<td>Accepted</td>
<td>VCRGE/SPACE SCIENCE &amp; ENGINEERING CENTER</td>
<td><a href="mailto:pwood3@wisc.edu">pwood3@wisc.edu</a></td>
</tr>
</tbody>
</table>
Training and Presentations

- **March 14**
  - PA Presentation to Research Administrative Leaders at RSP
- **March 21**
  - PA Presentation to the Research Administrators Network for Health Schools
- **March 22**
  - PA Presentation to OVCRCGE Center Research Administrators
- **April 5**
  - UW-Madison Showcase 2017 Poster on Public Access Compliance and Services to assist researchers

INTERESTED? We are happy to do presentations for anyone or any group at UW-Madison.
Watch For:

- Informational web presence on each agency requirement on library website
- Development of online Library Guides and Training Videos
- Scheduled Presentations on Public Access Compliance across campus
- Additional Information on various Public Access Requirements of Private Funders
- News Stories, etc.

Our Ask:

- Tell your colleagues that have federal funding that the campus libraries are ready to help
- Host a broad public access presentation to your department or a focused training session on doing publication submissions to one of the federal agency repositories
- Let us know if you have any questions about public access
CALL OR EMAIL:

Julie Schneider, jschneider@library.wisc.edu, 263-5755
Ryan Schryver, ryan.schryver@wisc.edu, 262-6594